

**COMBINED DECLARATION AND POWER OF ATTORNEY**

(ORIGINAL DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION OR CIP)

As a below named inventor, I hereby declare that :

**TYPE OF DECLARATION**

This declaration is of the following type: (check one applicable item below)

- ☐ original  
☐ design  
☐ supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do not check next item; check appropriate one of last three items.

- ☒ national stage of PCT

NOTE: If one of the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR CIP.

- ☐ divisional  
☐ continuation  
☐ continuation-in-part (CIP)

**INVENTORSHIP IDENTIFICATION**

WARNING : If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below ) or an original, first and joint inventor (if plural names are listed below ) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**TITLE OF INVENTION**

**METHOD FOR COSMETIC DECORATION BY PIGMENT DEPOSITION,  
DECORATIVE AID, PROTECTOR AND PACK USING THE METHOD**

**SPECIFICATION IDENTIFICATION**

the specification of which: (complete (a), (b) or (c))

- (a) ☐ is attached hereto.  
(b) ☒ was filed on December 19, 2000 as ☒ Serial No. 09/719,895  
or ☐ Express Mail No., as Serial No. not yet known  
and was amended on (if applicable ).

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

- (c) ☒ was described and claimed in PCT International Application No. PCT/JP99/03323 filed on June 22, 1999  
and as amended under PCT Article 19 on (if any ).

## ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information

☒ which is material to patentability as defined in 37, Code of Federal Regulations,  
§ 1.56

(also check the following items, if desired)

☒ and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent, and

☐ In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.98.

### PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

(d) ☐ no such applications have been filed.

(e) ☒ such applications have been filed as follows.

NOTE: Where item(c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

#### A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119

COUNTRY (OR INDICARE IF PCT)	APPLICATION NUMBER	DATE OF FILING (month, day, year)	PRIORITY CLAIMED UNDER 37 USC 119
Japan	10-174859	June 22, 1998	<input checked="" type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>
			<input type="checkbox"/> YES NO <input type="checkbox"/>

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(Declaration and Power of Attorney - page 2 of 5)

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

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**NOTE ;** *If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CIP APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. § 120.*

**POWER OF ATTORNEY**

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(List name and registration number)* **ROBERT H. BACHMAN (Reg. No.: 19,374); GREGORY P. LaPOINTE (Reg. No.: 28,395); RICHARD S. STRICKLER (Reg. No.: 18,228); BARRY L. KELMACHTER (Reg. No.: 29,999); GEORGE A. COURY (Reg. No.: 34,309); BRYAN D. ROCKWELL (Reg. No.: 36,656)**

*(check the following item, if applicable)*

- ☐ Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

---

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:

*(Name and telephone number)*

**Bachman & LaPointe, P.C.**  
**900 Chapel Street, Suite 1201**  
**New Haven, CT 06510-2802**

**Gregory P. LaPointe**  
**(203) 777-6628**

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**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**SIGNATURE(S)**

*NOTE : Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents.*

**Full name of sole or first inventor**

Ryuichi

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

UTSUGI

FAMILY( OR LAST NAME)

Inventor's signature

Date ; DEC 20 2000

Residence ; Tokyo, Japan

Country of Citizenship ; Japan

Post Office Address ; 3-7-16, Shirokane, Minato-ku, Tokyo 108-0072 Japan

**Full name of second joint inventor, if any**

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY( OR LAST NAME)

Inventor's signature

Date ;

Residence ;

Country of Citizenship ;

Post Office Address ;

**Full name of third joint inventor, if any**

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY( OR LAST NAME)

Inventor's signature

Date ;

Residence ;

Country of Citizenship ;

Post Office Address ;

**Full name of fourth joint inventor, if any**

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY( OR LAST NAME)

Inventor's signature

Date ;

Residence ;

Country of Citizenship ;

Post Office Address ;

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**CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S)  
WHICH FORM A PART OF THIS DECLARATION**

- ☐ Signature for third and subsequent joint inventors. Number of pages added \_\_\_\_\_
- ☐ Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added \_\_\_\_\_
- ☐ Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. Number of pages added \_\_\_\_\_
- ☐ Added page for signature by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time (37 CFR 1.47).
- ☐ Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.  
☐ Number of pages added \_\_\_\_\_
- ☐ Authorization of attorney(s) to accept and follow instructions from representative.

*(If no further pages form a part of this Declaration, then end this Declaration with this page and check the following item:)*

☒ This declaration ends with this page.

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**PATENT**

Attorney's Doc. No. \_\_\_\_\_

Applicant or Patentee: Ryuichi UTSUGI

Serial or Patent No.: 0 / \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

For: METHOD FOR COSMETIC DECORATION BY PIGMENT DEPOSITION, DECORATIVE AID, PROJECTOR,  
AND PACK USING THE METHOD

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR. 1.9(f) and 1.27(b))-INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled METHOD FOR COSMETIC DECORATION BY PIGMENT DEPOSITION, DECORATIVE AID, PROJECTOR, AND PACK USING THE METHOD described in

- ☐ the specification filed herewith.
- ☐ application serial no. 0 / \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
- ☒ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention availing to their status as small entities. (37 CFR 1.27).

FULL NAME : **DRDC Limited**

ADDRESS : 3-7-16, Shirokane, Minato-ku, Tokyo 108-0072 Japan

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME :

ADDRESS :

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(h))

**BEST AVAILABLE COPY**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code. and that such willful false statements may jeopardize the validity of the application. any patent issuing thereon. or any patent to which this verified statement is directed.

(1) **Ryuichi UTSUGI**

\_\_\_\_\_  
Name of inventor/

*Ryuichi Utsugi*  
\_\_\_\_\_  
Signature of Inventor

Date : DEC 20 2000

(2)

\_\_\_\_\_  
Name of inventor

\_\_\_\_\_  
Signature of Inventor

Date :

(3)

\_\_\_\_\_  
Name of inventor

\_\_\_\_\_  
Signature of Inventor

Date :

(4)

\_\_\_\_\_  
Name of inventor

\_\_\_\_\_  
Signature of Inventor

Date :

(5)

\_\_\_\_\_  
Name of inventor

\_\_\_\_\_  
Signature of Inventor

Date :

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Applicant or Patentee: Ryuichi UTSUGI

Serial or Patent No.:

Attorney ' s

Filed or Issued:

Docket No. :

For: METHOD FOR COSMETIC DECORATION BY PIGMENT DEPOSITION, DECORATIVE AID, PROJECTOR,  
AND PACK USING THE METHOD

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ( ) the owner of the small business concern identified below:  
(X) an official of the small business concern empowered to act on behalf of the  
concern identified below:

NAME OF CONCERN : **DRDC Limited**

ADDRESS OF CONCERN: at **3-7-16, Shirokane, Minato-ku, Tokyo 108-0072 Japan**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purpose of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD FOR COSMETIC DECORATION BY PIGMENT DEPOSITION, DECORATIVE AID, PROJECTOR, AND PACK USING THE METHOD

by inventor(s) **Ryuichi Utsugi** described in

- ( ) the specification filed herewith  
( ) application serial no. \_\_\_\_\_, filed  
( ) patent no. \_\_\_\_\_, issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9 (e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

N A M E : \_\_\_\_\_

ADDRESS : \_\_\_\_\_

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of



paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief and believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING : Noriko UTSUGI

TITLE OF PERSON OTHER THAN OWNER : Executive Director

ADDRESS OF PERSON SIGNING : at 3-7-16, Shirokane, Minato-ku, Tokyo 108-0072 Japan

SIGNATURE; Noriko Utsugi DATE; DEC 20 2000

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SUZ0006-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

RYUICHI UTSUGI

Serial No. 09/719,895

Art Unit: 3743

Filed: December 19, 2000

Examiner: Patel, Nihir B.

For: METHOD FOR COSMETIC DECORATION BY PIGMENT  
DEPOSITION, DECORATIVE AID, PROJECTOR, AND  
PACK USING THE METHOD

**SUBMISSION OF REVOCATION AND APPOINTMENT OF POWER OF  
ATTORNEY ALONG WITH STATEMENT UNDER 37 C.F.R. 3.73(b)**

Sir:

Applicant is submitting herewith a Revocation and Appointment of Power of Attorney along with a Statement Under 37 C.F.R. 3.72(b) signed by Assignee DRDC Limited in the above application. Entry of these documents into this application and prompt notification thereof is earnestly solicited.

The Director is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to applicants' representatives Deposit Account No. 50-1390.

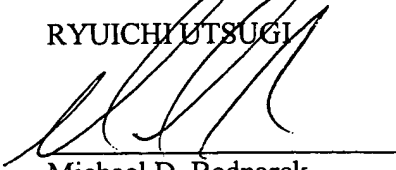
Respectfully submitted,

RYUICHI UTSUGI

Date: December 17, 2002

SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102  
Tel: 703/770-7606

By:

  
Michael D. Bednarek  
Registration No. 32,329

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SUZ0006-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: Ryuichi UTSUGI

Serial No.: 09/719,895

Art Unit: 3743

Filed: December 19, 2000

Examiner: PATEL, Nihir B.

For: METHOD FOR COSMETIC DECORATION  
BY PIGMENT DEPOSITION, DECORATIVE AID,  
PROJECTOR, AND PACK USING THE METHOD

**REVOCATION OF POWER OF ATTORNEY AND POWER OF ATTORNEY**

Assistant Commissioner of Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

The undersigned, Noriko UTSUGI, (title) Executive Director

for Assignee DRDC Limited in the above-identified application, hereby revokes all previous powers of attorney and appoints the following attorneys:

Lawrence J. Gotts	Reg. No. 31,163
Michael D. Bednarek	Reg. No. 32,329
Aslan Baghdadi	Reg. No. 34,542
Elizabeth M. Roesel	Reg. No. 34,878
David C. Isaacson	Reg. No. 38,500
Lawrence D. Eisen	Reg. No. 41,009
Michael S. Lee	Reg. No. 41,434
Michael A. Oblon	Reg. No. 42,956
Steven P. Arnheim	Reg. No. 43,475
Poh C. Chua	Reg. No. 44,615
James M. Ross	Reg. No. 42,115
Michael Colby	Reg. No. 45,816
Joanne Kim	Reg. No. 51,193

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Serial No. 09/719,895  
Art Unit: 3743  
Attorney Docket No. SUZ0006-US

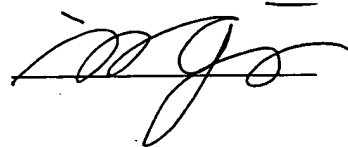
Each of Shaw Pittman LLP, 1650 Tysons Boulevard, McLean, VA 22102, with full power of substitution and revocation to transact all business connected with the above-identified U.S. Patent in the United States Patent and Trademark Office.

Correspondence in this matter should be directed to:

Michael D. Bednarek  
SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102  
Tel: (703) 770-7900  
Fax: (703) 770-7901

DRDC Limited

By:



Name: Noriko UTSUGI

Title: Executive Director

Date: October 15, 2002

Document #: 1203578 v.1

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: RYUICHI UTSUGI

Application No.: 09/719,895

Filed: DECEMBER 19, 2000

Entitled: "METHOD FOR COSMETIC DECORATION BY PIGMENT DEPOSITION, DECORATIVE AID, ..."

DRDC LIMITED

, a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

State that it is:

1. ☒ the assignee of the entire right, title, and interest, or  
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

November 7, 2002  
Date

  
Signature

NORIKO UTSUGI

Typed or printed name

EXECUTIVE DIRECTOR

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments of the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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